

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

JC511 U.S. PTO
12/05/01UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 020946-000110US

First Inventor Harter, Mark

Title METHOD AND APPARATUS FOR GENERATING BUSINESS
ACTIVITY-RELATED MODEL-BASED COMPUTER SYSTEM
OUTPUT

Express Mail Label No. EH852709980US

A

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. X Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. X Specification [Total Pages 28]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or
a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. X Drawing(s) (35 U.S.C.113) [Total Sheets 2]

5. Oath or Declaration [Total Pages 3]

a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. X Application Data Sheet. See 37 CFR 1.76

ADDRESS TO

Box Patent Application
U.S. Patent and Trademark Office
Box: 2327
Arlington, VA 22202JC955 U.S. PTO
10/00/01

12/05/01

7. CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper number of pages

c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. X Assignment Papers (cover sheet (duplicate) &
document(s))

10. 37 C.F.R. §3.73(b) Statement Power of
(when there is an assignee) Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations

13. Preliminary Amendment

14. X Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C.
122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent

17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

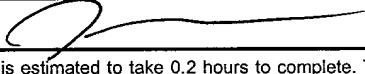
20350

or Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name				
Address				
City	State		Zip Code	
Country	Telephone		Fax	

Name (Print/Type) Mayumi Maeda Registration No. (Attorney/Agent) 40,075

Signature  Date 12/15/01Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

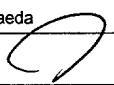
FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 370.00)

Complete if Known	
Application Number	
Filing Date	
First Named Inventor	Harter, Mark
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	020946-000110US

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																			
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text" value="20-1430"/></p> <p>Deposit Account Name <input type="text" value="Townsend and Townsend and Crew LLP"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640 Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230 Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify)</td><td></td></tr> <tr> <td colspan="4">The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.</td><td></td></tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3) <input type="text" value="(\$0)"/></td></tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath		127	50	227	25 Surcharge - late provisional filing fee or cover sheet		139	130	139	130 Non-English specification		147	2,520	147	2,520 For filing a request for reexamination		112	920*	112	920* Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		115	110	215	55 Extension for reply within first month		116	400	216	200 Extension for reply within second month		117	920	217	460 Extension for reply within third month		118	1,440	218	720 Extension for reply within fourth month		128	1,960	228	980 Extension for reply within fifth month		119	320	219	160 Notice of Appeal		120	320	220	160 Filing a brief in support of an appeal		121	280	221	140 Request for oral hearing		138	1,510	138	1,510 Petition to institute a public use proceeding		140	110	240	55 Petition to revive – unavoidable		141	1,280	241	640 Petition to revive – unintentional		142	1,280	242	640 Utility issue fee (or reissue)		143	460	243	230 Design issue fee		144	620	244	310 Plant issue fee		122	130	122	130 Petitions to the Commissioner		123	50	123	50 Petitions related to provisional applications		126	180	126	180 Submission of Information Disclosure Stmt		581	40	581	40 Recording each patent assignment per property (times number of properties)		146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370 Request for Continued Examination (RCE)		169	900	169	900 Request for expedited examination of a design application		Other fee (specify)					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) <input type="text" value="(\$0)"/>
Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																			
105	130	205	65 Surcharge - late filing fee or oath																																																																																																																																																																				
127	50	227	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																				
139	130	139	130 Non-English specification																																																																																																																																																																				
147	2,520	147	2,520 For filing a request for reexamination																																																																																																																																																																				
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																																				
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																																				
115	110	215	55 Extension for reply within first month																																																																																																																																																																				
116	400	216	200 Extension for reply within second month																																																																																																																																																																				
117	920	217	460 Extension for reply within third month																																																																																																																																																																				
118	1,440	218	720 Extension for reply within fourth month																																																																																																																																																																				
128	1,960	228	980 Extension for reply within fifth month																																																																																																																																																																				
119	320	219	160 Notice of Appeal																																																																																																																																																																				
120	320	220	160 Filing a brief in support of an appeal																																																																																																																																																																				
121	280	221	140 Request for oral hearing																																																																																																																																																																				
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																																																				
140	110	240	55 Petition to revive – unavoidable																																																																																																																																																																				
141	1,280	241	640 Petition to revive – unintentional																																																																																																																																																																				
142	1,280	242	640 Utility issue fee (or reissue)																																																																																																																																																																				
143	460	243	230 Design issue fee																																																																																																																																																																				
144	620	244	310 Plant issue fee																																																																																																																																																																				
122	130	122	130 Petitions to the Commissioner																																																																																																																																																																				
123	50	123	50 Petitions related to provisional applications																																																																																																																																																																				
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																																																				
581	40	581	40 Recording each patent assignment per property (times number of properties)																																																																																																																																																																				
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																				
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																				
179	740	279	370 Request for Continued Examination (RCE)																																																																																																																																																																				
169	900	169	900 Request for expedited examination of a design application																																																																																																																																																																				
Other fee (specify)																																																																																																																																																																							
The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.																																																																																																																																																																							
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) <input type="text" value="(\$0)"/>																																																																																																																																																																			
**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																							

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Mayumi Maeda 	Registration No. (Attorney/Agent)	40,075
Signature		Telephone	415-576-0200
		Date	12/15/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1297826 v1